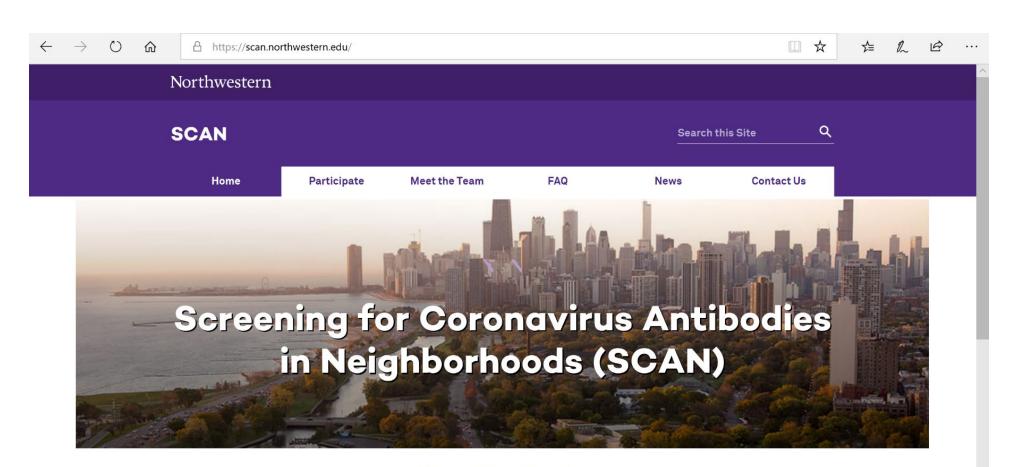
**Community or clinic?** Generating insights into COVID-19 transmission, severity, and response to vaccination with at-home antibody testing

Thom McDade, PhD

Northwestern University, Department of Anthropology and Institute for Policy Research





#### About the Study

The purpose of the SCAN Study is to find out how many people in specific areas have been exposed to SARS-CoV-2 (also known as coronavirus or COVID-19) and developed antibodies to the virus. The SCAN Study will also help researchers learn if these antibodies protect people against re-infection.

We will test your blood for antibodies to SARS-CoV-2, the virus that causes COVID-19. The timing of antibodies appearing in the blood stream is not fully known, some appear sooner than others, and some people develop antibodies without having symptoms of disease. We will test for antibodies, including those that can remain in the blood for a long time. In other types of infection, these antibodies often indicate immunity or a sign that the body has recovered from infection.

If you are interested in joining the SCAN Study, please answer a few questions in the form below to find out if you are eligible. Your responses are

### An interdisciplinary research team

# Institute for Sexual and Gender Minority Health and Wellbeing (ISGMH)

Brian Mustanski

Rana Saber

Dan Ryan

Melissa Mongrella

Jack Novotny

Krystal Madkins

Reno Stephens

Joshua Schrock

Michael Newcomb

# Third Coast Center for AIDS Research

(TC-CFAR)

Richard D'Aquila

Nanette Benbow

#### **Department of Anthropology**

Thomas McDade

**Aaron Miller** 

Amelia Sancilio

#### **Center for Genetic Medicine**

Elizabeth McNally

Alexis Demonbreun

Aaron Zelikovich

Katherine Fallon

Lauren Vaught

Nina Reiser

Jodi Curtain

Matt Velez

Ryan Hseih

Lorenzo Pesce



## The promise (and perils) of antibody testing



- > Seroprevalence and the "denominator problem"
  - How many cases, where, whom?
- ➤ Evaluation of policies/behaviors that mitigate transmission in the community
- Origins of social inequities in COVID-19
  - asymptomatic vs. mild vs. serious infection
- ➤ Lasting effects of exposure/infection (Long COVID)

- ➤ Does antibody = immunity?
  - antibody "passports"
  - politics of seroprevalence

#### In Italy, Going Back to Work May Depend on Having the Right Antibodies

Weighing an idea that might once have been relegated to science fiction, Italy once again finds itself in the unfortunate vanguard of Western democracies grappling with the coronavirus.



The almost deserted Navigli area in Milan. Abanuaries Granuari for The New York Time

### Antibody testing in the community vs. the lab



lateral flow immunoassay



Point-of-care

Finger stick

Rapid (<15 min)

Community-based collection

Qualitative

QC/QA issues

**HCW** required

Single test

#### Antibody Test, Seen as Key to Reopening Country, Does Not Yet Deliver

The tests, many made in China without F.D.A. approval, are often inaccurate. Some doctors are misusing them. The rollout is nowhere close to the demand.



A Long Island resident's blood was screened for coronavirus antihodies on Tuesday in Hempstead, N.Y. Sets Wertg/Assacland Press



### Antibody testing in the community vs. the lab



lateral flow immunoassay



Point-of-care

Finger stick

Rapid (<15 min)

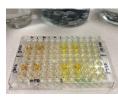
Community-based collection

are Qualitative

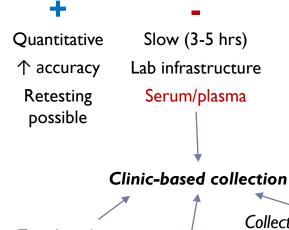
QC/QA issues

**HCW** required

Single test



Immunoassay (in various forms)



Travel to clinic

Collection materials PPE

Licensed HCW



"The filter paper blood collection device has achieved the same level of precision and reproducibility that analytical scientists and clinicians have come to expect from standard methods of collecting blood"

→ Mei et al. (2001). Journal of Nutrition 131:1631-6S.

Clinical Chemistry 64:4

#### Reviews

#### State of the Science in Dried Blood Spots

Jeffrey D. Freeman, <sup>1\*</sup> Lori M. Rosman, <sup>2</sup> Jeremy D. Ratcliff, <sup>3</sup> Paul T. Strickland, <sup>4</sup> David R. Graham, <sup>5</sup> and Ellen K. Silbergeld <sup>4</sup>

BACKGROND: Advancements in the quality and availability of highly sensitive analytical instrumentation and methodologies have led to increased interest in the use of microsamples. Among microsamples, dried blood spots (DBS) are the most well-known. Although there have been a variety of review papers published on DBS, there has been no attempt at describing the full range of analytes measurable in DBS, or any systematic approach published for characterizing the strengths and weaknesses associated with adoption of DBS analyses.

CONTENT: A scoping review of reviews methodology was used for characterizing the state of the science in DBS. We identified 2018 analytes measured in DBS and found every common analytic method applied to traditional liquid samples had been applied to DBS samples. Analytes covered a broad range of biomarkers that included genes, transcripts, proteins, and metabolites. Strengths of DBS enable its application in most clinical and laboratory settings, and the removal of phlebotomy and the need for refrigeration have expanded biosampling to hard-to-reach and vulnerable populations. Weaknesses may limit adoption in the near term because DBS is a nontraditional sample often requiring conversion of measurements to plasma or serum values. Opportunities presented by novel methodologies may obviate many of the current limitations, but threats around the ethical use of residual samples must be considered by potential

SUMMARY: DBS provide a wide range of potential applications that extend beyond the reach of traditional samples. Current limitations are serious but not intractable.

reviously published online at DOI: 10.1373/clinchem.2017.275966

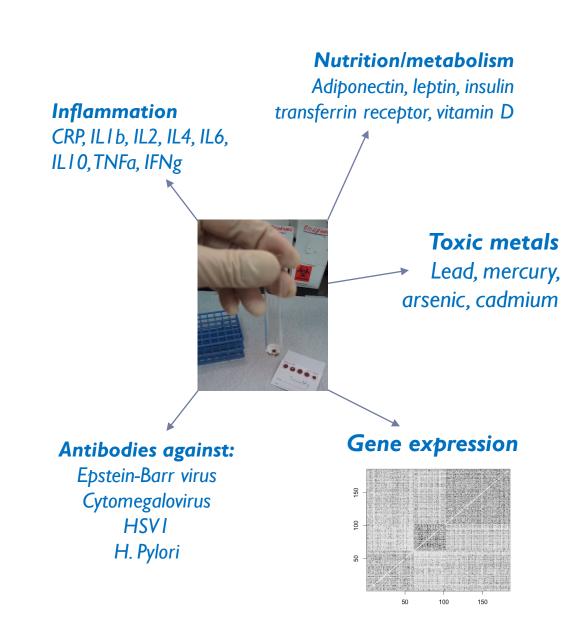
Technological advancements will likely continue to minimize constraints around DBS adoption.

© 2017 American Association for Clinical Chemistry.

Recent advancements in the quality and availability of highly sensitive analytical instrumentation have led to increased interest in the use of microsamples (i.e., biolog ical samples of <50 μL) (1-3). Microsamples have bee applied for basic and clinical research, public health, and clinical medicine (4-9). Interest in microsampling has been driven, in part, by the development of sophisticated computer software programs and methodological plat forms for improved qualitative and quantitative analysi (10-13). Among microsampling methods, dried blood spots (DBS)6 are the most well-known and researched DBS are a minimally invasive method for the collection of small quantities of whole blood from finger or heel stick with application to specially prepared filter paper for drying (14, 15). DBS samples do not require phlebotomy, and DBS can be stored and shipped under ambient conditions, although a comprehensive assessment of ana lyte stability has not been performed (16, 17). Existing stability studies for DBS, although limited, have demonstrated analyte stability across a wide range of storage

To date, DBS have a range of applications in clinical practice, basic research, and population-based research (4, 5, 15, 19, 20). The most common and widely accepted clinical use of DBS is for newborn screening programs, which are primarily concerned with the detection of metabolic disorders (21). Other clinical applications in the published literature have focused on HIV surveillance, therapeutic drug monitoring, and clinical chemistry (8, 27–24). Basic research applications for DBS include biomarker development applications for DBS include biomarker development and validation, drug discovery and development, forenies science, systems ciscured and toxicology (5, 17, 25–27). Population-based research applications are variable but may be broadly cat-esporized into human epidemiological studies and environmental population studies (3, 17, 28, 20).

Copyright (C) 2017 by The American Association for Clinical Chemistry



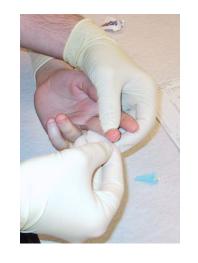
<sup>&</sup>lt;sup>1</sup> National Health Mission Area, Johns Hopkins University Applied Physics Laboratory Lauret, Moy. <sup>2</sup> Welch Medical Uniony, Johns Hopkins University, Etalitmore, Moy. <sup>3</sup> Publi-Health Studies Poyan, Kiseger School of Arts and Science, Johns Hopkins University Ballimore, MO; <sup>4</sup> Department of Environmental Health and Engineering, Bloomber School of Public Health, Johns Hopkins University, Ballimore, MD; <sup>5</sup> Department of Mole Iecular and Comparative Pathobiology, School of Medicine, Johns Hopkins University

<sup>\*</sup>Address correspondence to this author at: JHU/APL, 11100 Johns Hopkins Road, Rm. 21-S360, Laurel, MD 20723. Fxx 410-955-0617; e-mail jeffrey.freeman@jhuapl.edu. Received May 9, 2017; accepted September 25, 2017.

Nonstandard abbreviations: DBS, dried blood spots; SRR, scoping review of review SWOT, Strengths, Weaknesses, Opportunities, and Threats; VOC, volatile organ

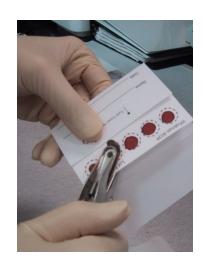
#### **Dried blood spots (DBS):**

Tool for bridging the field and the lab









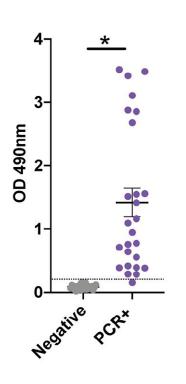
Convenience and reach of community-based sampling

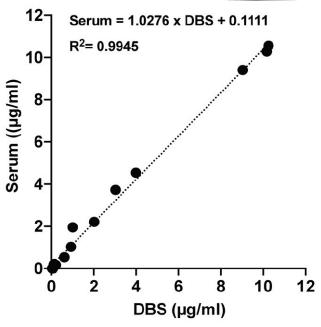


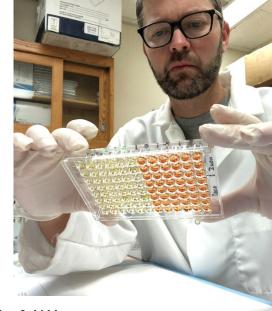
Accuracy and quantitation in the lab

# Developing a DBS immunoassay for measuring SARS-CoV-2 antibodies









IRB #: STU00212457 Approved by NU IRB for use on or after 4/17/2020

#### Permission to Take Part in a Human Research Study

Title of Research Study: Validation of immunoassay for SARS-CoV-2 antibodies (STU00212457)

Investigator: Thomas McDade

Supported By: This research is supported by Northwestern University.

#### Key Information:

We are asking you to fill out a short survey and to provide a few drops of blood from a finger stick. These materials will be used to validate a new method for measuring exposure to coronavirus.

Why am I being asked to take part in this research study?
We are asking you to take part in this research study because you are a member of the community and may have had prior exposure to coronavirus.

#### What should I know about a research study?

- Someone will explain this research study to you.
- Whether or not you take part is up to you.
- You can choose not to take part.
- You can agree to take part and later change your mind.
- · Your decision will not be held against you.
- You can ask all the questions you want before you decide.

Why is this research being done?

McDade Lab, Rm #2190 1801 Maple Avenue Evanston, IL 60201









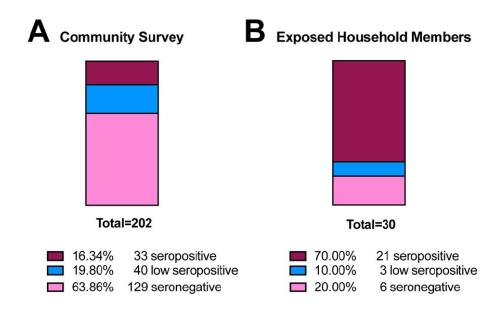


#### **PLOS ONE**

RESEARCH ARTICLE

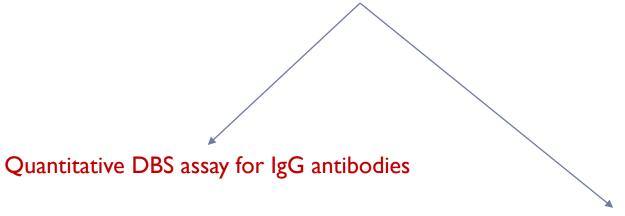
High seroprevalence for SARS-CoV-2 among household members of essential workers detected using a dried blood spot assay

Thomas W. McDade 1,2°, Elizabeth M. McNally<sup>3,4,5</sup>, Aaron S. Zelikovich<sup>3,4</sup>, Richard D'Aquila<sup>6</sup>, Brian Mustanski<sup>7</sup>, Aaron Miller<sup>1</sup>, Lauren A. Vaught 3,4, Nina L. Reiser 3,4, Elena Bogdanovic 4, Katherine S. Fallon 4, Alexis R. Demonbreun 5,8°



# SCAN screening for coronavirus antibodies in neighborhoods

A "no contact" approach to SARS-CoV-2 antibody testing.

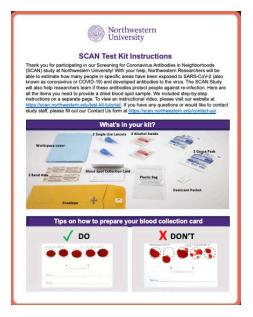


Web platform for participant engagement



#### Dried blot spot collection training material





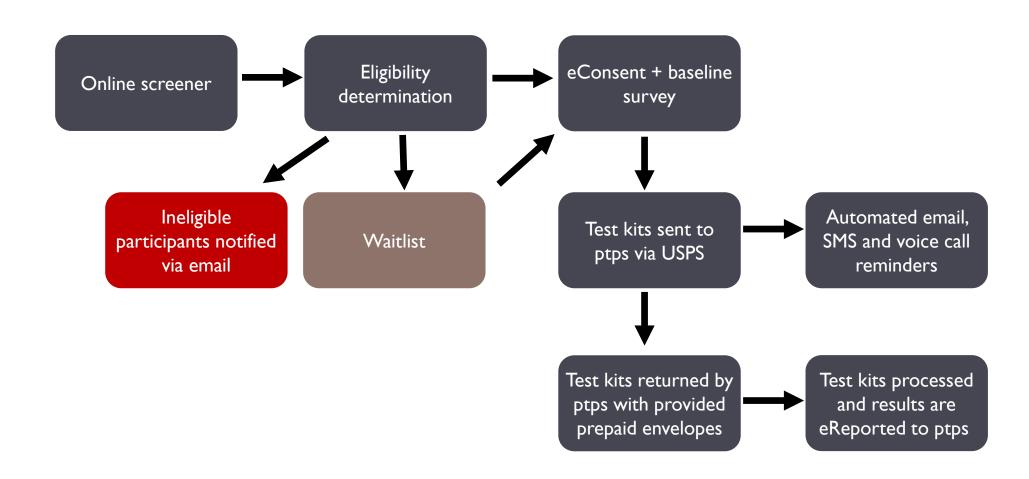
Step by step video tutorials



Remaining questions: scan@northwestern.edu



### No-contact research platform

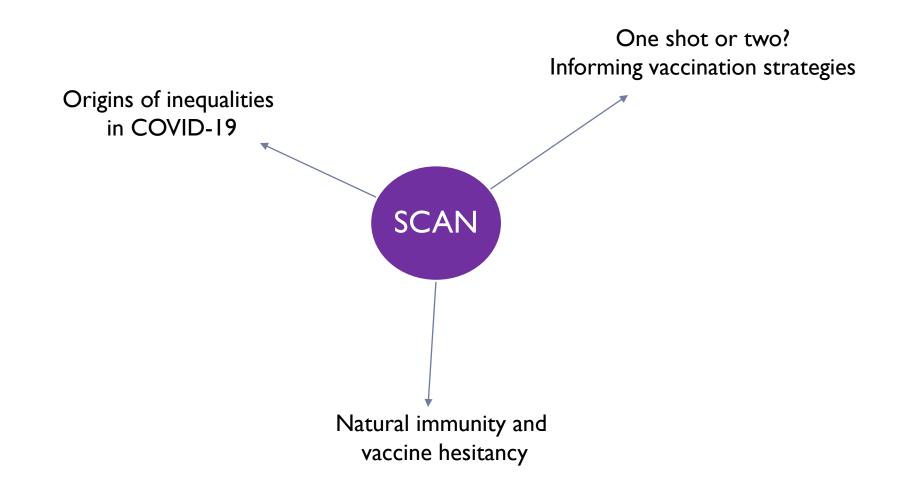


## SCAN substudies





## Key findings



### Pre-prints (peer-review is too slow!)



## SCAN: 10 neighborhoods



Courtesy of families

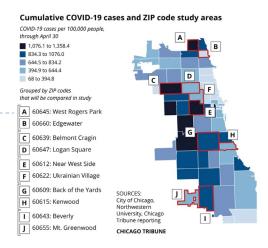
By Esther Yoon-Ji Kang, Natalie Moore, María Inés Zamudio

Aug. 17, 2020, 6 a.m. CT

#### Cumulative COVID-19 cases and ZIP code study areas COVID-19 cases per 100,000 people, through April 30 1,076.1 to 1,358.4 834.3 to 1076.0 644.5 to 834.2 394.9 to 644.4 68 to 394.8 Grouped by ZIP codes that will be compared in study A 60645: West Rogers Park **B** 60660: Edgewater C 60639: Belmont Cragin **D** 60647: Logan Square **E** 60612: Near West Side F 60622: Ukrainian Village **G** 60609: Back of the Yards SOURCES: City of Chicago, **H** 60615: Kenwood Northwestern University, Chicago **I** 60643: Beverly Tribune reporting 60655: Mt. Greenwood **CHICAGO TRIBUNE**

## SCAN: 10 neighborhoods

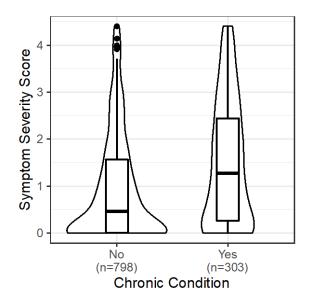
pair	neighborhood(s)	zipcode	wk32caserate	covidyou (%)	housedx (%)	PCR+	Ab pos (%
2	Belmont Cragin (majority), H	60639	4475.4	18.64	16.95	6.8	27.12
3	New City (all), Fuller Park (all	60609	3522.2	6.52	10.87	2.2	17.39
1	West Ridge (majority), Roger	60645	2568.5	6.82	3.41	1.14	15.9
4	East Garfield Park (half), Nea	60612	2468.6	3.9	2.6	1.3	14.29
2	Logan Square (majority), Hur	60647	2111.8	11.4	5.26	0.9	19.3
5	Morgan Park (majority), Beve	60643	2023.3	8.6	5.38	4.3	22.5
4	West Town (half), Logan Squ	60622	1697.2	5	2.86	1.4	20
5	Mount Greenwood (all), Beve	60655	1482.4	7.61	6.52	3.3	21.7
1	Edgewater(majority), West R	60660	1352.9	5.5	2.75	0.9	19.2
3	Kenwood (half), Hyde Park (h	60615	1328.1	0.85	0.85	0.9	16.
		Overall		6.94	4.81	2	19.2
		X2		25.50	30.80	12.90	6.10
		p value		0.00	0.00	0.17	0.7



Big differences in clinical cases across neighborhoods.

NO significant differences in seropositivity.

# What explains the paradox of big differences in clinical cases vs. no differences in seropositivity?



exposed to Cohabitant with COVID-19

Inequitable distribution of pre-existing health conditions

Higher "doses" of viral exposure

→ inequities in more severe COVID-19?



### One shot or two?

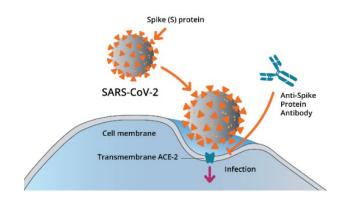
#### People Who Have Had Covid Should Get Single Vaccine Dose, Studies Suggest

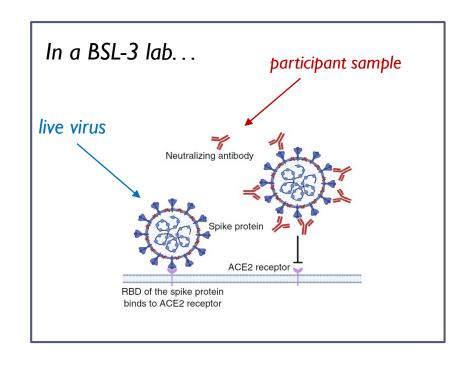
New studies show that one shot of a vaccine can greatly amplify antibody levels in those who have recovered from the coronavirus.

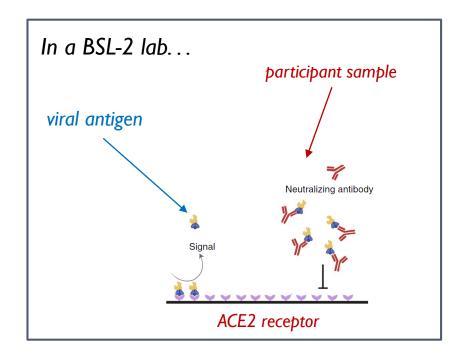




#### Surrogate virus neutralization test (sVNT): Functional measure of antibody-mediated neutralization

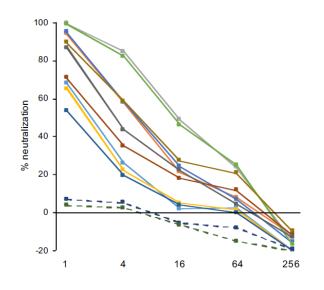


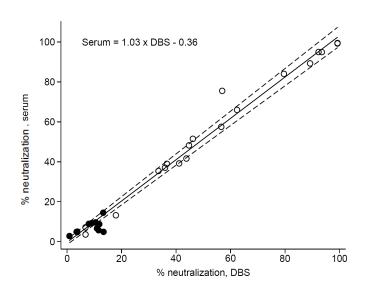


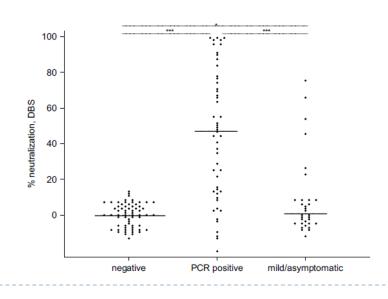


#### Quantifying neutralizing antibodies (sVNT) in DBS



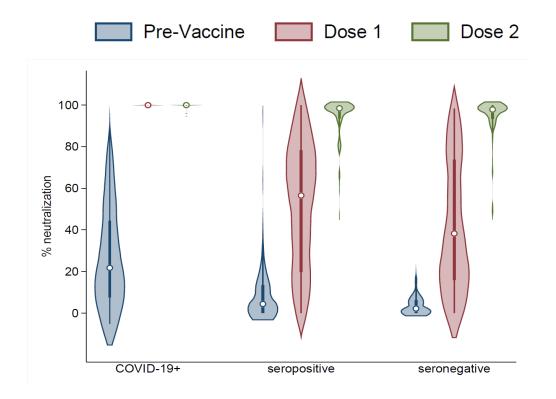






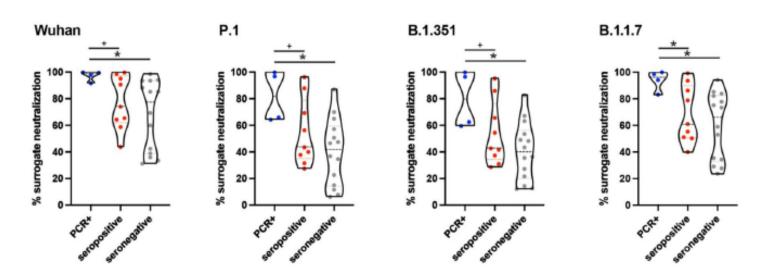
# One shot or two? The answer depends on how you define prior exposure to SARS-CoV-2

One shot or two?



#### Does durability of neutralization response vary by exposure history?

3 months post-second dose, by exposure history



- $\rightarrow$  ~20% higher neutralization for COVID-19 cases in comparison with seronegatives AND seropositives
- → Reduced neutralization of P.1 (Gamma) and B.1.351 (Beta) for all groups
- → For seropositive/seronegative participants: Level of variant neutralization comparable to one dose mRNA

## Natural immunity: Clinical vs. community-based perspectives

The NEW ENGLAND JOURNAL of MEDICINE

#### ORIGINAL ARTICLE

### Antibody Status and Incidence of SARS-CoV-2 Infection in Health Care Workers

S.F. Lumley, D. O'Donnell, N.E. Stoesser, P.C. Matthews, A. Howarth, S.B. Hatch, B.D. Marsden, S. Cox, T. James, F. Warren, L.J. Peck, T.G. Ritter, Z. de Toledo, L. Warren, D. Axten, R.J. Cornall, E.Y. Jones, D.I. Stuart, G. Screaton, D. Ebner, S. Hoosdally, M. Chand, D.W. Crook, A.-M. O'Donnell, C.P. Conlon, K.B. Pouwels, A.S. Walker, T.E.A. Peto, S. Hopkins, T.M. Walker, K. Jeffery, and D.W. Eyre, for the Oxford University Hospitals Staff Testing Group\*

#### ABSTRACT

#### BACKGROUND

The relationship between the presence of antibodies to severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) and the risk of subsequent reinfection remains unclear.

#### **METHODS**

We investigated the incidence of SARS-CoV-2 infection confirmed by polymerase chain reaction (PCR) in seropositive and seronegative health care workers attending testing of asymptomatic and symptomatic staff at Oxford University Hospitals in the United Kingdom. Baseline antibody status was determined by anti-spike (primary analysis) and anti-nucleocapsid IgG assays, and staff members were followed for up to 31 weeks. We estimated the relative incidence of PCR-positive test results and new symptomatic infection according to antibody status, adjusting for age, participant-reported gender, and changes in incidence over time.

#### RESULTS

A total of 12,541 health care workers participated and had anti-spike IgG measured; 11,364 were followed up after negative antibody results and 1265 after positive results, including 88 in whom seroconversion occurred during follow-up. A total of 223 anti-spike–seronegative health care workers had a positive PCR test (1.09 per 10,000 days at risk), 100 during screening while they were asymptomatic and 123 while symptomatic, whereas 2 anti-spike–seropositive health care workers

JAMA Internal Medicine | Original Investigation

#### Association of SARS-CoV-2 Seropositive Antibody Test With Risk of Future Infection

Raymond A. Harvey, MPH; Jeremy A. Rassen, ScD; Carly A. Kabelac, BS; Wendy Turenne, MS; Sandy Leonard, MPH; Reyna Klesh, MS; William A. Meyer III, PhD, D(ABMM), MLS(ASCP)CM; Harvey W. Kaufman, MD, MBA; Steve Anderson, PhD; Oren Cohen, MD; Valentina I. Petkov, MD, MPH; Kathy A. Cronin, PhD; Alison L. Van Dyke, MD, PhD; Douglas R. Lowy, MD; Norman E. Sharpless, MD; Lynne T. Penberthy, MD. MPH

**IMPORTANCE** Understanding the effect of serum antibodies to severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) on susceptibility to infection is important for identifying at-risk populations and could have implications for vaccine deployment.

**OBJECTIVE** The study purpose was to evaluate evidence of SARS-CoV-2 infection based on diagnostic nucleic acid amplification test (NAAT) among patients with positive vs negative test results for antibodies in an observational descriptive cohort study of clinical laboratory and linked claims data.

DESIGN, SETTING, AND PARTICIPANTS The study created cohorts from a deidentified data set composed of commercial laboratory tests, medical and pharmacy claims, electronic health records, and hospital chargemaster data. Patients were categorized as antibody-positive or antibody-negative according to their first SARS-CoV-2 antibody test in the database.

MAIN OUTCOMES AND MEASURES Primary end points were post-index diagnostic NAAT results, with infection defined as a positive diagnostic test post-index, measured in 30-day intervals (0-30, 31-60, 61-90, >90 days). Additional measures included demographic, geographic, and clinical characteristics at the time of the index antibody test, including recorded signs and symptoms or prior evidence of coronavirus 2019 (COVID) diagnoses or positive NAAT results and recorded comorbidities.

RESULTS The cohort included 3 257 478 unique patients with an index antibody test; 56% were female with a median (SD) age of 48 (20) years. Of these, 2 876 773 (88.3%) had a negative index antibody result, and 378 606 (11.6%) had a positive index antibody result. Patients with a negative antibody test result were older than those with a positive result (mean age 48 vs 44 years). Of index-positive patients, 18.4% converted to seronegative over the follow-up period. During the follow-up periods, the ratio (95% CI) of positive NAAT results among individuals who had a positive antibody test at index vs those with a negative antibody test at index was 2.85 (95% CI, 2.73-2.97) at 0 to 30 days, 0.67 (95% CI, 0.6-0.74) at 31 to 60 days, 0.29 (95% CI, 0.24-0.35) at 61 to 90 days, and 0.10 (95% CI, 0.05-0.19) at more than 90 days.

CONCLUSIONS AND RELEVANCE In this cohort study, patients with positive antibody test results were initially more likely to have positive NAAT results, consistent with prolonged RNA shedding, but became markedly less likely to have positive NAAT results over time, suggesting that seropositivity is associated with protection from infection. The duration of protection is unknown, and protection may wane over time.

# Natural immunity: A justification for vaccine hesitancy

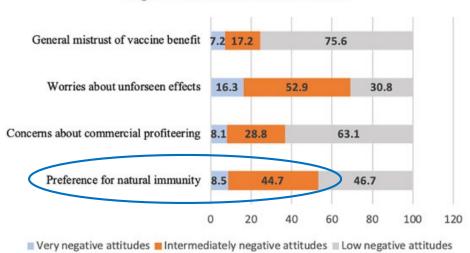
The Lancet Regional Health - Europe 1 (2021) 100012

Attitudes towards vaccines and intention to vaccinate against COVID-19: Implications for public health communications

Elise Paul, Andrew Steptoe, Daisy Fancourt\*

Variable	No, $n = 279^{1}$	Wait for Review, $n = 1953^{1}$	Yes, $n = 1247^{1}$	<i>p-</i> Value <sup>2</sup>
Do you think you are at risk of getting COVID-13 in next 1 year?				<0.001 *
I believe I already have the disease and I am immune to it (Not diagnosed by a test)	30 (22%)	68 (49%)	40 (29%)	
No, I am confident I won't get infected	71 (27%)	128 (48%)	67 (25%)	
No, I already have recovered and won't get re-infected (Diagnosed by a test)	4 (12%)	18 (53%)	12 (35%)	
Yes, I am concerned that I will get mild symptoms which will probably not require hospitalization	153 (6.7%)	1283 (56%)	858 (37%)	
Yes, I am concerned that I will get moderate symptoms which will probably need hospitalization	15 (2.6%)	350 (61%)	207 (36%)	
Yes, I am concerned that I will get severe symptom which will probably require admission to the Intensive care unit	6 (3.4%)	106 (61%)	63 (36%)	

#### Negative attitudes towards vaccines



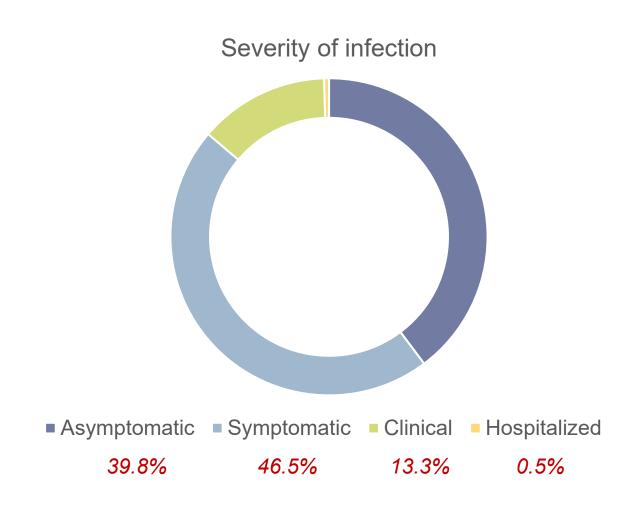
Vaccines 2021, 9, 119. https://doi.org/10.3390/vaccines9020119

Article

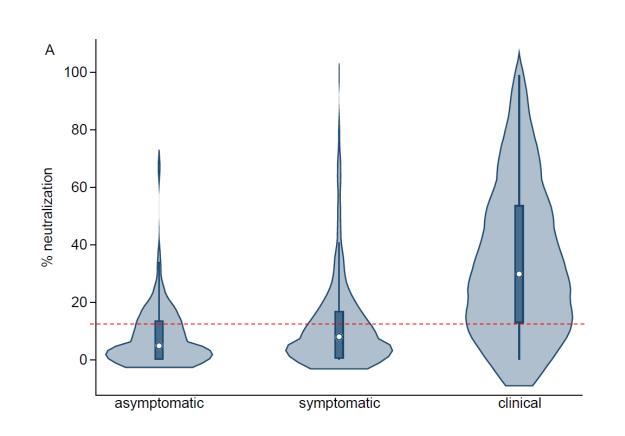
#### **COVID-19 Vaccine Acceptance among Health Care Workers in the United States**

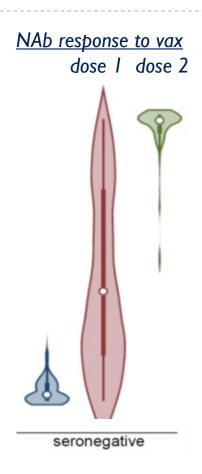
Rahul Shekhar <sup>1</sup>, Abu Baker Sheikh <sup>1,\*</sup>, Shubhra Upadhyay <sup>1</sup>, Mriganka Singh <sup>2</sup>, Saket Kottewar <sup>3</sup>, Hamza Mir <sup>4</sup>, Eileen Barrett <sup>1</sup> and Suman Pal <sup>1</sup>

# Protective immunity: Clinical vs. community-based perspectives



## Protective immunity: Clinical vs. community-based perspectives





Prior infection ≠ protective immunity comparable to vaccination

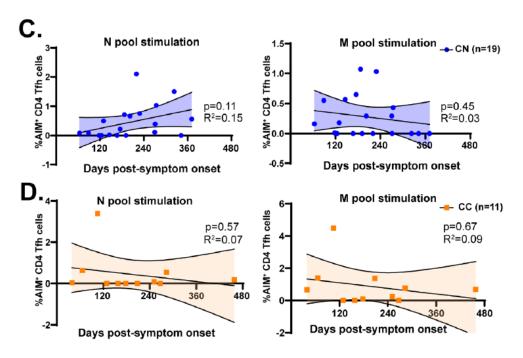
## Cellular immunity to SARS-CoV-2

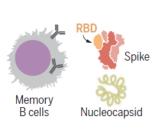


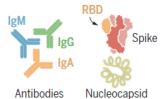


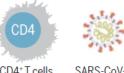
Neuro-COVID long-haulers exhibit broad dysfunction in T cell memory generation and responses to vaccination

Lavanya Visvabharathy<sup>1\*¶</sup>, Barbara Hanson<sup>1,3</sup>, Zachary Orban<sup>1</sup>, Patrick H. Lim<sup>1</sup>, Nicole Palacio<sup>2</sup>, Rishi Jain<sup>1</sup>, Eric Michael Liotta<sup>1</sup>, Pablo Penaloza-MacMaster<sup>2</sup>, Igor J. Koralnik<sup>1\*</sup>













SARS-CoV-2



**Immunological memory to SARS-CoV-2 assessed for** 

Caterina E. Faliti<sup>1</sup>, Alba Grifoni<sup>1</sup>, Sydney I. Ramirez<sup>1,2</sup>, Sonya Haupt<sup>1</sup>, April Frazier<sup>1</sup>, Catherine Nakao<sup>1</sup>,

Jennifer M. Dan<sup>1,2</sup>\*, Jose Mateus<sup>1</sup>\*, Yu Kato<sup>1</sup>\*, Kathryn M. Hastie<sup>1</sup>, Esther Dawen Yu<sup>1</sup>,

Vamseedhar Rayaprolu<sup>1</sup>, Stephen A. Rawlings<sup>2</sup>, Bjoern Peters<sup>1,3</sup>, Florian Krammer<sup>4</sup>, Viviana Simon<sup>4,5,6</sup>, Erica Ollmann Saphire<sup>1,2</sup>, Davey M. Smith<sup>2</sup>, Daniela Weiskopf<sup>1</sup>†.

up to 8 months after infection

Alessandro Sette<sup>1,2</sup>†, Shane Crotty<sup>1,2</sup>†

- "G" RBD IgG
- "B" RBD-specific memory B cells
- "4" SARS-CoV-2-specific CD4+T cells
- "8" SARS-CoV-2-specific CD8+T cells
- "A" Spike IgA

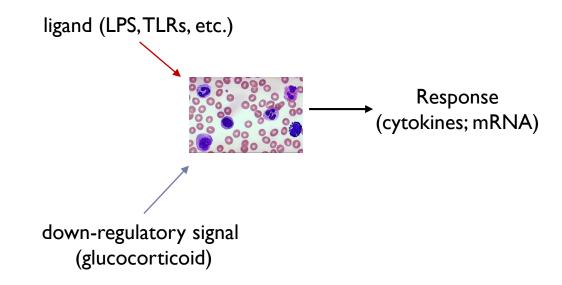
- G+B+4+8+A+
- G+B+4+8-A+
- G+B-4+8-A+
- G+B+4-8-A+
- G+B+4+8+A-
- G+B+4-8+A+ ■ G-B+4+8-A+





#### Out of the lab and into the field:

Miniaturized cell culture protocols to advance research on the regulation of inflammation



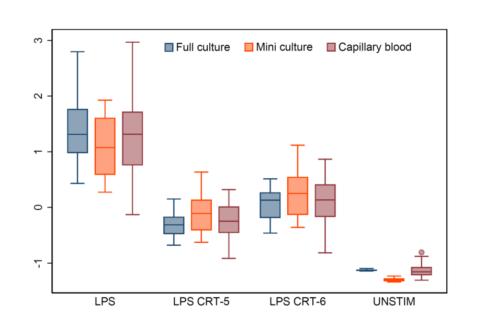
### Culturing cells is hard to do outside of the clinic. . .

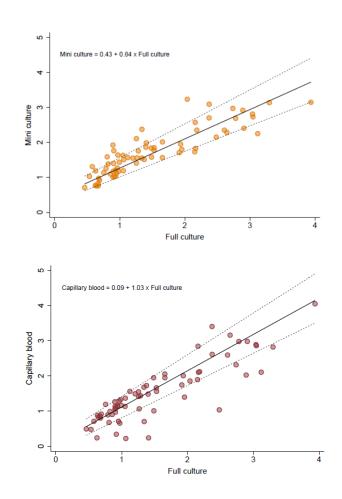


### ... but not impossible.



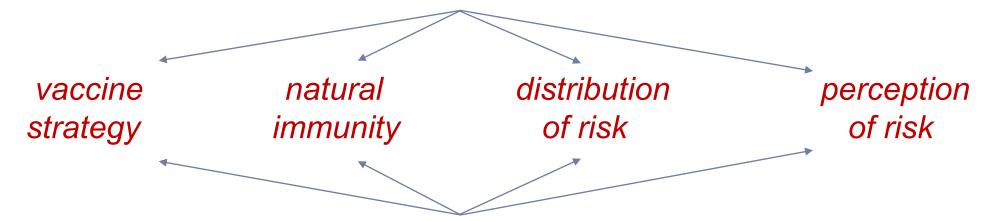
### Agreement in results across culture protocols





### Community or clinic?

The vast majority of SARS-CoV-2 infections in the community are mild or asymptomatic, and do not generate high levels of protective immunity.



Scientific understandings of the immunobiology of SARS-CoV-2 are based primarily on the study of more severe cases of COVID-19 in the clinic.

